



Mirabaud is an international banking group that provides a clientele of private and institutional investors, companies and finance professionals with highly customised investment, private banking and asset management services.

Headquartered in Geneva, Mirabaud has evolved steadily over the years and now employs over 700 staff who, through their experience and expertise, perpetuate the entrepreneurial spirit that has guided the bank since its foundation in 1819. The Group now conducts its **Wealth Management, Asset Management and Securities** businesses in the main financial centers around the globe and has offices in Switzerland, the UK, Luxembourg, France, Spain, Italy, Canada, the United Arab Emirates, Brazil and Uruguay.

Our Business Risk Management team within our Wealth Management department in our Zurich Office is looking to add a :

BUSINESS RISK MANAGER

Main Responsibilities:

- Act as first line of defense and assist the Front Office in all Compliance fields.
- Support Market Head's in Due Diligence :
 - Provide Due Diligence support to the Market / region Head;
 - Provide regular reporting to the Market / region Head;
 - Monitor regulatory & business environment in target markets;
 - Organize 1st level of control & ride up critical issues to Compliance et Business control;
 - Organize implementation of related activities within Front;
 - Contribute to Market management meetings;
 - Support in evaluating staff on compliance dimensions and share his evaluations with compliance unit.
- Support Bankers in Compliance Area :
 - First point of contact for Due Diligence matters;
 - Pre-check of account opening, Due diligence & tax Compliance aspects (e.g. PEP & High risk accounts, urgent requests);
 - Review of account opening & tax documents (standard risk);
 - Review of AML alerts for standard & high risk accounts (4 eyes principle);
 - Organize Front activities & follow-up (e.g. lists, desk reviews, controls, breaches...);
 - Support resolution of internal issues with Compliance, Business Control, Legal & Tax units;
 - Organize with HR and participate to trainings to be «Mirabaud compliant»;
 - Facilitate communication of internal policies and support Compliance unit in the Front.



- Go-to person for Compliance and other functions :
 - Facilitate issue management (e.g. incident, problems, pending issues, investment risk breaches, follow-up of investment desk reviews) and trouble shooting;
 - Provide Front feedback to Risk & Compliance units;
 - «Expert» in specific subject matters for the BRM Community;
 - Participate in the Front transversal projects.
- Participate and contribute to key relevant meetings of the function.
- Collaborate with the Compliance and Internal Audit departments, follow-up and regularization of points or remarks raised related to the Front concerned activities.
- Any other duties required consistent with your role.

Candidate's Profile:

- Higher education, at least 5 years' experience in a similar role or a "support/control/compliance" function in a Swiss bank active in private banking, or in a "Big-4" or Consulting firm;
- Solid understanding of all front-line processes (account openings, client documentation, investment processes, transaction monitoring, etc.) and related regulatory requirements (AML, tax, compliance);
- Ability to make people work together and to play a cross-functional role within the various business lines of the Bank;
- Strong collaboration spirit, excellent communication skills and ability to share information, give feedback. Project management skills, solutions-oriented and proactive mindset;
- Fluent in English and German, French is an asset.

Mirabaud Group is an Equal Opportunity Employer.

If you are interested in this role, please send your application via email to the following address:

recrutement@mirabaud.com

If you would like to **pursue a career within the Mirabaud Group**, please send us your CV to the same email address.

Notes:

Please be aware that Introductions from recruitment agencies will not be considered.

Only candidates with a suitable profile will receive a response.