



Mirabaud is an international banking group that provides a clientele of private and institutional investors, companies and finance professionals with highly customised investment, private banking and asset management services.

Headquartered in Geneva, Mirabaud has evolved steadily over the years and now employs over 700 staff who, through their experience and expertise, perpetuate the entrepreneurial spirit that has guided the bank since its foundation in 1819. The Group now conducts its **Wealth Management, Asset Management and Securities** businesses in the main financial centres around the globe and has offices in Switzerland, the UK, Luxembourg, France, Spain, Italy, Canada, the United Arab Emirates, Brazil and Uruguay.

Our Business Risk Management team within our Wealth Management department is looking to add a:

BUSINESS RISK MANAGER

Main Responsibilities:

- 1) Act as first line of defense and assist the Front Office in all Compliance and business risk fields.
- 2) Guarantee Front office good Compliance and business risk Management :
 - Provide risk and compliance understanding support to Front office;
 - Implement regulatory, cross-border & business evolutions in the daily activity;
 - Rise critical issues & blockers;
 - Organize implementation of related measures within Front;
 - Contribute to Market management meetings;
 - Support in evaluating front office on quality & compliance level.
- 3) Support Bankers in Compliance and business risk assessment :
 - First point of contact for Compliance matters;
 - Provide Compliance feedback to RMs;
 - Pre-check of account openings to be presented at the CESR (documentation, corroboration, tax, etc.) for PEP and high risk clients and participation at the relevant Committee;
 - Review of account openings (documentation, corroboration, tax, etc.) for low risk clients;
 - Review of AML alerts for low risks accounts (4 eyes principle);
 - Follow-up of Front activities (e.g. lists, desk reviews, controls, breaches, etc.);
 - Support resolution of internal issues with Compliance and business risk;
 - Coaching / training to be «Mirabaud compliant»;
 - Facilitate implementation of internal policies.
- 4) Go-to person for Compliance, business risk and other functions :
 - Manage compliance, documentation & quality of clients profiles and transactions;
 - Issue management (e.g. incident, problems, pending issues, investment risk breaches, follow-up of investment desk reviews) & trouble shooting;
 - Provide Front feedback to Risk & Compliance;
 - Expert in specific subject matters for the BRM Community;
- 5) Participate and contribute to key relevant meetings of the function.
- 6) Collaborate in the resolution of audit recommendations.
- 7) Gather feedback from Front & Compliance about processes, tools, pain points & needs.



Candidate's Profile:

- Higher education, at least 5 years' experience in a similar role or a "support/control" function in a Swiss bank active in private banking, or in a Big 4 or consulting firm;
- Solid understanding of all front-line processes (account openings, client documentation, investment processes, etc.) and related regulatory requirements (AML, tax, Compliance, etc.);
- Ability to make people work together and to play a cross-functional role within the various business lines of the Bank;
- Strong collaboration spirit, ability to share information, give feedback;
- Project management skills, solutions-oriented and proactive mindset;
- Excellent communication and presentation skills, both written and oral;
- English mandatory, German/Italian is a plus;
- Strong sense of ethics, aligned with Mirabaud's values and partnership culture.

Mirabaud Group is an Equal Opportunity Employer.

If you are interested in this role, please send your application via email to the following address:

recrutement@mirabaud.com

If you would like to **pursue a career within the Mirabaud Group**, please send us your CV to the same email address.

Notes:

Please be aware that Introductions from recruitment agencies will not be considered.
Only candidates with a suitable profile will receive a response.