

Mirabaud Securities MiFID II RTS 28 Reporting for 01/01/2021 to 31/12/2021

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T12)				
Notification if <1 average trade per business day in the previous year	No				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
CREDIT SUISSE SECURITIES (EUROPE) LIMITED DL6FFRRLF74S01HE2M14	32.81 %	18.94 %	4.75 %	4.10 %	0.00 %
GOLDMAN SACHS INTERNATIONAL W22LROWP2IHZNBB6K528	14.53 %	12.06 %	0.59 %	0.15 %	0.00 %
CSIL	13.81 %	14.64 %	8.21 %	4.47 %	0.00 %
VIRTU ITG EUROPE LIMITED 213800EEC95PRUCEUP63	12.60 %	14.39 %	0.00 %	0.00 %	0.00 %
MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED 8NAV47TOY26Q87Y0QP81	8.29 %	8.95 %	0.00 %	0.00 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

Mirabaud Securities MiFID II RTS 28 Reporting for 01/01/2021 to 31/12/2021

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T34)				
Notification if <1 average trade per business day in the previous year	No				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
VIRTU ITG EUROPE LIMITED 213800EEC95PRUCEUP63	25.83 %	17.77 %	0.00 %	0.00 %	0.00 %
MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED 8NAV47TOY26Q87Y0QP81	16.48 %	13.15 %	0.00 %	0.00 %	0.00 %
GOLDMAN SACHS INTERNATIONAL W22LROWP2IHZNB6K528	15.07 %	15.68 %	0.57 %	0.06 %	0.00 %
INSTINET EUROPE LIMITED 213800MXAKR2LA1VBM44	13.37 %	14.20 %	2.79 %	0.56 %	0.00 %
CREDIT SUISSE SECURITIES (EUROPE) LIMITED DL6FFRRLF74S01HE2M14	12.88 %	11.95 %	12.33 %	11.51 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

Mirabaud Securities MiFID II RTS 28 Reporting for 01/01/2021 to 31/12/2021

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T56)				
Notification if <1 average trade per business day in the previous year	No				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
VIRTU ITG EUROPE LIMITED 213800EEC95PRUCEUP63	21.52 %	19.68 %	0.00 %	0.00 %	0.00 %
INSTINET EUROPE LIMITED 213800MXAKR2LA1VBM44	16.80 %	14.18 %	5.76 %	1.57 %	0.00 %
CITADEL SECURITIES LLC 12UUJYTN7D3SW8KCSG25	13.98 %	17.71 %	0.00 %	0.00 %	0.00 %
GOLDMAN SACHS INTERNATIONAL W22LROWP2IHZNBB6K528	11.38 %	11.38 %	0.24 %	0.31 %	0.00 %
MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED 8NAV47TOY26Q87Y0QP81	9.78 %	9.53 %	0.00 %	0.00 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

Mirabaud Securities MiFID II RTS 28 Reporting for 01/01/2021 to 31/12/2021

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Other instruments				
Notification if <1 average trade per business day in the previous year	No				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
CITADEL SECURITIES LLC 12UUJYTN7D3SW8KCSG25	37.27 %	27.39 %	0.00 %	0.00 %	0.00 %
Optiver V.O.F. 7245009KRY SAYB2QCC29	21.86 %	1.27 %	0.00 %	0.00 %	0.00 %
VIRTU ITG EUROPE LIMITED 213800EEC95PRUCEUP63	12.12 %	12.10 %	0.00 %	0.00 %	0.00 %
GOLDMAN SACHS INTERNATIONAL W22LROWP2IHZNBB6K528	6.15 %	15.29 %	0.00 %	0.00 %	0.00 %
MORGAN STANLEY SECURITIES LIMITED VLR6T6E60GH5GUS0XX16	4.54 %	3.82 %	0.00 %	0.00 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

Mirabaud Securities MiFID II RTS 28 Reporting for 01/01/2021 to 31/12/2021

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)				
Notification if <1 average trade per business day in the previous year	No				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Flow Traders B.V. 549300CLJI9XDH12XV51	27.77 %	15.85 %	0.00 %	0.00 %	0.00 %
JANE STREET FINANCIAL LIMITED 549300ZHEHX8M31RP142	24.21 %	16.69 %	0.00 %	0.00 %	0.00 %
Optiver V.O.F. 7245009KRY SAYB2QCC29	8.86 %	9.50 %	0.00 %	0.00 %	0.00 %
SOCIETE GENERALE O2RNE8IBXP4R0TD8PU41	7.10 %	9.73 %	0.00 %	0.00 %	0.00 %
J.P. MORGAN SECURITIES PLC K6Q0W1PS1L104IQL9C32	6.21 %	4.73 %	0.00 %	0.00 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

Mirabaud Securities MiFID II RTS 28 Reporting for 01/01/2021 to 31/12/2021

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Structured finance instruments				
Notification if <1 average trade per business day in the previous year	No				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Flow Traders B.V. 549300CLJI9XDH12XV51	32.94 %	22.95 %	0.00 %	0.00 %	0.00 %
Optiver V.O.F. 7245009KRY SAYB2QCC29	22.29 %	10.93 %	0.00 %	0.00 %	0.00 %
MIRABAUD SECURITIES LIMITED 2138008Z5T61L1ZDWV35	19.75 %	1.64 %	0.00 %	0.00 %	0.00 %
VRTU	12.17 %	5.46 %	0.00 %	0.00 %	0.00 %
DRWETF	3.41 %	3.82 %	0.00 %	0.00 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

Mirabaud Securities MiFID II RTS 28 Reporting for 01/01/2021 to 31/12/2021

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Debt instruments, Bonds				
Notification if <1 average trade per business day in the previous year	Yes				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Flow Traders B.V. 549300CLJI9XDH12XV51	44.64 %	12.50 %	0.00 %	0.00 %	0.00 %
VIRTU ITG EUROPE LIMITED 213800EEC95PRUCEUP63	32.56 %	17.50 %	0.00 %	0.00 %	0.00 %
CSIL	10.58 %	45.00 %	0.00 %	100.00 %	0.00 %
CREDIT SUISSE SECURITIES (EUROPE) LIMITED DL6FFRRLF74S01HE2M14	5.15 %	10.00 %	0.00 %	100.00 %	0.00 %
Optiver V.O.F. 7245009KRY SAYB2QCC29	3.12 %	2.50 %	0.00 %	0.00 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

Mirabaud Securities MiFID II RTS 28 Reporting for 01/01/2021 to 31/12/2021

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Securitized Derivatives, Warrants and Certificate Derivatives				
Notification if <1 average trade per business day in the previous year	Yes				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
CITADEL SECURITIES LLC 12UUJYTN7D3SW8 KCSG25	51.17 %	66.67 %	0.00 %	0.00 %	0.00 %
GOLDMAN SACHS INTERNATIONAL W22LROWP2 IHZNBB6K528	48.83 %	33.33 %	0.00 %	0.00 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

Mirabaud Securities MiFID II RTS 28 Reporting for 01/01/2021 to 31/12/2021

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Debt instruments, Money markets instruments				
Notification if <1 average trade per business day in the previous year	Yes				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MIRABAUD SECURITIES LIMITED 2138008Z5 T61L1ZDWV35	100.00 %	100.00 %	0.00 %	0.00 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

Mirabaud Securities MiFID II RTS 28 Reporting for 01/01/2021 to 31/12/2021

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Securitized Derivatives, Other securitized derivatives				
Notification if <1 average trade per business day in the previous year	Yes				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
GOLDMAN SACHS INTERNATIONAL W22LROWP2 IHZNBB6K528	40.44 %	9.09 %	0.00 %	0.00 %	0.00 %
CSIL	37.37 %	18.18 %	0.00 %	0.00 %	0.00 %
ICF BANK AG Wertpapierhandelsbank 529 900E89HA1I45HB613	11.99 %	9.09 %	0.00 %	0.00 %	0.00 %
INSTINET EUROPE LIMITED 213800MXAKR2L A1VBM44	5.60 %	9.09 %	0.00 %	0.00 %	0.00 %
MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED 8NAV47TOY26Q87Y0QP81	3.27 %	9.09 %	0.00 %	0.00 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					